

Audit Assurance Opinions: 2021/22

AUDIT TITLE	Department	FINAL DATE	ASSURANCE LEVEL				RECOMMENDATIONS			
			FULL	SUBSTANTIAL	LIMITED	NO	Priority 1	Priority 2	Priority 3	
1	Compliance and Enforcement Grant	E&R	7/5/21	Y						
2	Role of the Operational Procurement Groups	All	13/5/21		Y				8	
3	Mascot Centre	C&H	19/5/21		Y				12	1
4	Cash and Bank	CS	19/5/21		Y				5	1
5	Disclosure Barring Service	CS	27/5/21			Y		2	3	2
6	Payroll (joint audit with LBS and RBK)	CS	28/5/21		Y				4	
7	MSJCB	ER	8/6/21	Accounts sign off						
8	Corporate Procurement follow up	CS	17/6/21		Y				3	3
9	CM2000 Home Care Monitoring	CH	17/6/21			Y		4	13	
10	Cyber Security	CS	24/6/21			Y			8	8
11	Troubled Families grant-quarter 1	CSF	30/6/21		Y					
12	Duplicate Payment Checks- quarter 1	CS	30/6/21		Y					
13	Accounts Payable	CS	10/9/21		Y				3	
14	Declaration of Interests & Gifts & Hospitality-Officers	CS	10/9/21		Y				7	

15	Annual Governance Review	all	28/9/21		Y				5	
16	Departmental Schemes of Management	all	30/9/21		Y				1	
17	Troubled Families Grant-quarter 2	CSF	30/9/21		Y					
18	Duplicate Payments – quarter 3	CS	30/9/21		Y					
19	Mayor's Account	CS	1/10/21		Y				2	2
20	Capital Programme	CS	20/10/21		Y				4	
21	Planning Applications	ER	4/11/21		Y				3	
22	Adoption, Fostering and Special Guardianship Payments	CSF	26/11/21			Y		2	9	
23	Merton Abbey Primary School	CSF	26/11/21			Y		3	12	
24	Adult Social Care Debt	CH	29/11/21		Y				5	
25	Pension Administration (Joint Audit with Wandsworth Council)	CS	11/1/22		Y				1	1
26	ICT Change Management	CS	11/1/22		Y					3
27	St Marks Primary School	CSF	11/1/22		Y				9	
28	Transport Fleet Management	ER	20/12/21		Y			1	3	
29	Parking Enforcement	ER	8/2/22			Y				
30	Gorringe Park Primary School	CSF	23/2/22			Y		1	27	
31	Infection Control Payments	CH	draft		Y				4	
32	E Return Process	CS	draft		Y				4	
33	Wimbledon Ticket Allocation	ER/CS	draft		Y				4	2
34	Children Placements-Short Breaks Commissioning	CSF	in progress							
35	Accounts Payable -Bank Mandates	CS	in progress							

36	Covid 19 Recovery (Grants)	CS	in progress							
37	Adelante (Cash Receipting System) Post Implementation	CS	in progress							
38	Petty Cash Imprests	CS	in progress							
39	Overtime	CS	in progress							
40	Parking Income	ER	in progress							
41	BeDifferent Federation	CSF	in progress							
42	Recruitment	CS	in progress							
43	General Ledger	CS	in progress							
44	Adult Safeguarding	CH	in progress							
45	Leaving Care Team- Financial Controls	CSF	in progress							

<b>TOTALS</b>				<b>1 (3%)</b>	<b>24 (75%)</b>	<b>7 (22%)</b>	<b>0 (0%)</b>	<b>13 (7%)</b>	<b>159 (81%)</b>	<b>23 (12%)</b>
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